

REQUEST FOR QUALIFICATION
FOR
DEVELOPMENT OF INTEGRATED SOLID WASTE MANAGEMENT SYSTEM
FOR
JAMSHEDPUR URBAN AGGLOMERATION
ON
PUBLIC PRIVATE PARTNERSHIP
UNDER
JnNURM SCHEME

Special Officer

Jamshedpur Notified Area Committee
Sakshi, Jamshedpur - 831001
(Jharkhand)
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The information contained in this Request for Qualification (“**RFQ**”) document or subsequently provided to Applicant(s), whether verbally or in documentary or any other form, by or on behalf of the Jamshedpur Urban Agglomeration (JUA) acting through Jamshedpur Notified Area Committee (JNAC) or any of its employees or advisors - Tetra Tech India Limited, is provided to Applicant(s) on the terms and conditions set out in this RFQ and such other terms and conditions subject to which such information is provided.

This RFQ is not an agreement and is neither an offer nor invitation by the JNAC to the prospective Applicant(s) or any other person. The purpose of this RFQ is to provide interested parties with information that may be useful to them in the formulation of their application for qualification pursuant to this RFQ (the “**Application**”). This RFQ includes statements, which reflect various assumptions and assessments arrived at by the JNAC in relation to the Project. Such assumptions, assessments and statements do not purport to contain all the information that each Applicant may require.

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Information provided in this RFQ to the Applicant(s) is on a wide range of matters, some of which may depend upon interpretation of law. The information given is not intended to be an exhaustive account of statutory requirements and should not be regarded as a complete or authoritative statement of law. The JNAC accepts no responsibility for the accuracy or otherwise for any interpretation or opinion on law expressed herein.

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The JNAC may, in its absolute discretion but without being under any obligation to do so, update, amend or supplement the information, assessment or assumptions contained in this RFQ.

The issue of this RFQ does not imply that the JNAC is bound to select and short-list pre qualified Applications for Bid Stage or to appoint the selected Bidder or Concessionaire, as the

case may be, for the Project and the JNAC reserves the right to reject all or any of the Applications or Bids without assigning any reasons whatsoever.

The Applicant shall bear all its costs associated with or relating to the preparation and submission of its Application including but not limited to preparation, copying, postage, delivery fees, expenses associated with any demonstrations or presentations which may be required by the JNAC or any other costs incurred in connection with or relating to its Application. All such costs and expenses will remain with the Applicant and the JNAC shall not be liable in any manner whatsoever for the same or for any other costs or other expenses incurred by an Applicant in preparation or submission of the Application, regardless of the conduct or outcome of the Bidding Process.

Glossary

Bidding Documents	As defined in Clause 1.3.3
Bidding Process	As defined in Clause 1.3.1
Bid Stage	As defined in Clause 1.3.1
DBOOT	Design, Build, Own, Operate and Transfer
Concessionaire	As defined in Clause 1.2.6
Conflict of Interest	As defined in Clause 2.2.1(c)
Consortium	As defined in Clause 2.2.1(a)
Eligible Projects	As defined in Clause 2.2.2A
Estimated Project Cost	As defined in Clause 1.2.3
Financial Capacity	As defined in Clause 2.2.2(B)
Government	Government of Jharkhand
Grant	As defined in Clause 1.2.7
Bidding Agreement	As defined in Clause 2.2.5(g)
Lead Member	As defined in Clause 2.2.5 (c)
LOA	Letter of Award
Member	Member of a Consortium
PPP	Public Private Partnership
Premium	As defined in Clause 1.2.8
Project	As defined in Clause 1.1.6
Qualification	As defined in Clause 1.3.1
Qualification Stage	As defined in Clause 1.3.2
Re. or Rs. or INR or `	Indian Rupee
RFP or Request for Proposals	As defined in Clause 1.3.1
RFQ	As defined in the Disclaimer
SPV	As defined in Clause 2.2.5
Technical Capacity	As defined in Clause 2.2.2 (A)
Threshold Technical Capacity	As defined in Clause 2.2.2 (B)
Turnover	As defined in Clause 2.2.3

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1. Introduction

1.1 Background

- 1.1.1. Jamshedpur Urban Agglomeration (JUA) comprises of three Notified Area Committees (NACs) and one Municipality namely Jamshedpur Notified Area Committee (JNAC), Adityapur Notified Area Committee, Mango Notified Area Committee, Jugsalai Municipality and Eight Census Town of Parsudih, Ghorabandha, Chotagovindpur, Gadhra, Sarjamdah, Haldubani, Kitadih and Bagbera.. For this project, JNAC is the acting body on behalf of JUA.
- 1.1.2. The Urban Local Bodies (ULBs) of Jamshedpur Urban Agglomeration (JUA) have been in the forefront in implementing reforms aiming at improved service delivery that is qualitative, reliable, and sustainable. Solid Waste Management (SWM) is the priority area of service delivery and ULBs of JUA have been making continued efforts in improving Municipal Solid Waste (MSW) management in Jamshedpur for the past few years.
- 1.1.3. Jawaharlal Nehru National Urban Renewal Mission (JnNURM) program was launched under the Ministry of Urban Development, Government of India to undertake reforms and facilitate investments in the urban sector of identified cities. Jamshedpur has been selected as one of the cities eligible for availing financial assistance under the JnNURM program.
- 1.1.4. JNAC appointed M/s MSV International Inc., USA to prepare Detailed Project Report (DPR) for establishing Integrated Municipal Solid Waste Management System (ISWM) in Jamshedpur city by availing grant under JnNURM. On the basis of the DPR report, approved by JnNURM in 2011, an estimated cost of approximately Rs. 32.23 Cr. was approved by JnNURM for developing ISWM facilities in Jamshedpur city.
- 1.1.5. With the objective of developing the project under a Public Private Partnership (PPP) framework, JUA acting through JNAC has taken a decision to appoint private developer who shall be responsible for collection, transportation, processing and disposal of MSW and for that purpose to design, develop, part-finance, construct, operate and maintain the ISWM facilities.
- 1.1.6. JNAC has appointed Tetra Tech India Limited, a leading consulting organization, as Transaction Advisor cum Project Management Consultant, for selection of developer on PPP and for implementation of the project in compliance the applicable laws including Municipal Solid Waste (Management & Handling) Rules, 2000 and meeting construction and operation and maintenance requirements set out by JNAC.
- 1.1.7. In view of the above, JNAC invites requests for qualification (RfQ) for selection of suitable developers who shall be responsible for collection, transportation, processing and disposal of MSW and for that purpose to design, develop, finance, construct, operate and maintain the facilities (the "Project") as explained in this document.

1.2 Brief Scope of Work

- 1.2.1. **Name of the Project:** Integrated Municipal Solid Waste (MSW) Management Project in Jamshedpur, Jharkhand.
- 1.2.2. **Estimated MSW** for the year 2011 in the Jamshedpur Urban Agglomeration is 296 TPD (approximately).
- 1.2.3. **Estimated Project Cost:** Rs. 32.23 Crore (approximately) as approved under JnNURM.
- 1.2.4. The **Scope of Work** for the Project during the concession period shall broadly include:
 - a) Collection, transportation of the MSW littered within the jurisdiction of the JUA involving:
 - i. Organized door-to-door collection & segregation of MSW at source
 - ii. Primary collection & storage of segregated waste
 - iii. Provision of movable covered containers of appropriate design in place of open heap
 - iv. Street sweeping and de silting of drains
 - v. Transportation of MSW in covered vehicles
 - vi. Processing facility with multiple product recovery for higher recycling of MSW so as to minimize burden on SLF
 - vii. Disposal of only inert & process remnants in engineered landfill

The components of SWM are required to comply with the MSW Rules 2000.

- b) Design, development, construction and operation and maintenance of the sanitary engineered landfill facility (SLF)
 - c) Design, development, construction, and operation and maintenance of the waste processing facility with composting as one of the main processes. Quantity of rejects going to SLF should not be more than 20% of the waste collected. Rejects sent to the sanitary landfill shall fulfill requirement of JPCB.
 - d) To undertake on its own or to assist JUA/JNAC in public education/ IEC program/awareness related to SWM.
 - e) In consultation with JUA/JNAC, develop and implement a public complaint handling system operational for at least 8 (eight) hours a day.
 - f) Collection of the user charges, on behalf of JUA/JNAC as determined by the JUA/JNAC from time to time and deposit to the Govt. as per approved mode.
- 1.2.5. The service area to be entrusted to the Concessionaire for carrying out the collection and transportation of SWM shall be the entire area within the Jamshedpur Urban Agglomeration (JUA) or as defined by the JNAC in the Request for Proposal Document.
 - 1.2.6. The selected Bidder shall be responsible for detailed designing based on latest technology adoptable in the area, engineering, financing, procurement, construction, operation, and maintenance of the Project during concession period of 30 years under the provisions of a long-term concession agreement (the "Concession Agreement") to be entered into between SPV incorporated by selected bidder (Concessionaire) and the JNAC. The whole process will be approved by the competent authority.
 - 1.2.7. The DPR for municipal solid waste ("MSW") management has been prepared by the Consultant appointed by JNAC and approved by CPHEEO under JnNURM. The Applicants may however note that the DPR may not cover adequately the

equipment/resources/technology to undertake efficiently and comprehensively the activities as defined in the Scope of Work covering the entire area within the JUA or as defined by the JNAC. Therefore, at the time of Bid, the Applicants may as they may deem fit, include such activities/equipment/manpower at its own cost to make good any shortcomings or enhance the techno-economic viability of the project in conformance with MSW Rules 2000. However for such inclusions/changes, no extra cost shall be paid to the bidder beyond the approved cost. The Applicants are advised to visit the site and familiarize themselves with the Project.

- 1.2.8 Indicative capital cost of the Project (the “Estimated Project Cost”) as per clause 1.2.3 is based on sanctioned DPR. Assessment of actual costs, however, will have to be made by the Bidders while bidding.
- 1.2.9 JNAC shall provide land for transfer stations, processing facilities and landfill. In addition existing vehicles, equipments and other infrastructure currently used and owned by JNAC and other ULBs within JUA may also be provided to the Concessionaire as per the Concession agreement. The parties should come with clear proposal for method of utilizing existing municipal employee, tools, machinery and equipment available with ULBs of JUA.
- 1.2.10 The JNAC shall receive Applications pursuant to this RFQ in accordance with the terms set forth herein as modified, altered, amended and clarified from time to time by the JNAC, and all Applications shall be prepared and submitted in accordance with such terms on or before the date specified in Clause 1.4 for submission of Applications (the “**Application Due Date**”).

1.3 Brief description of Bidding Process

- 1.3.1. The JNAC has adopted a two-stage process (collectively referred to as the “**Bidding Process**”) for selection of the Concessionaire for award of the Project. The first stage (the “**Qualification Stage**”) of the process involves qualification (the “**Qualification**”) of interested parties/ consortia who make an Application in accordance with the provisions of this RfQ (the “**Applicant**”, which expression shall, unless repugnant to the context, include the Members of the Consortium). Prior to making an Application, the Applicant shall pay to JNAC a sum of Rs. 10,000.00 (Rupees ten thousand only) which would be the non-refundable as the cost of the RFQ document.

JNAC will announce a shortlist of suitable pre-qualified Applicants who shall be eligible for participation in the second stage of the Bidding Process (the “**Bid Stage**”) comprising of Proposals (the “**Request for Proposals**” or “**RfP**”).

- 1.3.2. In the Qualification Stage, Applicants would be required to furnish all the information specified in this RfQ. Only those Applicants that are pre-qualified and shortlisted by the JNAC shall be invited to submit their Bids. The Applicants are advised to visit the site and familiarize themselves with the Project before submitting their bids.
- 1.3.3. In the Bid Stage, the Bidders will be called upon to submit financial offers (“**Bid**”) in accordance with the RfP, and other documents to be provided by the JNAC (collectively the “**Bidding Documents**”). The Bidding Documents for the Project will be provided to every shortlisted Bidder on payment of Rs. 30,000 (thirty thousand only) which would be

non-refundable. The Bid shall be valid for a period of not less than 180 days from the last date of submission of bids (the “**Bid Due Date**”).

- 1.3.4. During the Bid Stage, Bidders would be invited to examine the Project in greater detail, and to carry out, at their cost, such studies as may be required for submitting their respective Bids for award of the concession including implementation of the Project.
- 1.3.5. As part of the Bidding Documents, the JNAC will provide a draft Concession Agreement, and other information relevant to the Project.
- 1.3.6. The concession period shall be of 30 years.
- 1.3.7. Any queries or request for additional information concerning this RFQ shall be submitted in writing or by fax and e-mail to:

Special Officer
Jamshedpur Notified Area Committee (JNAC)
Sakshi, Jamshedpur – 831001
Phone: 0657 - 2423280
Fax:
Website: <http://jamshedpur.nic.in>

1.4 Schedule of Bidding Process

Event Description	Expected Dates
Qualification Stage	
1- Sale of RFQ Document —	01-10-2011 to 18-10-2011
2- Last date of receiving queries —	18-10-2011 (Up to 3:00 PM)
3- Pre RFQ meeting —	21-10-2011 (3:00 PM)
4- JNAC response to queries —	24-10-2011
5- Last date for submission of RFQ —	29-10-2011 (Up to 3:00 PM)
6- Opening of Bid -	29-10-2011 at 4:00 PM
7- Announcement of short listed parties —	To be declared

2. Instructions to Applicants

2.1 Scope of Application

The JNAC wishes to receive Applications for Qualification in order to short-list experienced and capable Applicants for the Bid Stage.

Short-listed Applicants may be subsequently invited to submit the Bids for the Project.

2.2 Eligibility of Applicants

- 2.2.1 For determining the eligibility of Applicants for their pre-qualification hereunder, the following shall apply:

- a. The Applicant for pre-qualification may be a single entity or a group of entities (the “**Consortium**”), coming together to implement the Project. However, no applicant applying individually or as a member of a Consortium, as the case may be, can be member of another Applicant. The term Applicant used herein would apply to both a single entity and a Consortium.
- b. An Applicant may be a natural person, private entity or any combination of them with a formal intent to enter into an agreement or under an existing agreement to form a Consortium. A Consortium shall be eligible for consideration subject to the conditions set out in Clause 2.2.6 below.
- c. An Applicant shall not have a conflict of interest (the “**Conflict of Interest**”) that affects the Bidding Process. Any Applicant found to have a Conflict of Interest shall be disqualified. An Applicant shall be deemed to have a Conflict of Interest affecting the Bidding Process, if:
 - i. The Applicant, its Member or Associate (or any constituent thereof) and any other Applicant, its Member or any Associate thereof (or any constituent thereof) have common controlling shareholders or other ownership interest; provided that this disqualification shall not apply in cases where the direct or indirect shareholding of an Applicant, its Member or an Associate thereof (or any shareholder thereof having a shareholding of more than 5% (five per cent) of the paid up and subscribed share capital of such Applicant, Member or Associate, as the case may be) in the other Applicant, its Member or Associate is less than 5% (five per cent) of the subscribed and paid up equity share capital thereof; provided further that this disqualification shall not apply to any ownership by a bank, insurance company, pension fund or a public financial institution referred to in section 4A of the Companies Act, 1956. For the purposes of this Clause 2.2.1 (c), indirect shareholding held through one or more intermediate persons shall be computed as follows: (aa) where any intermediary is controlled by a person through management control or otherwise, the entire shareholding held by such controlled intermediary in any other person (the “**Subject Person**”) shall be taken into account for computing the shareholding of such controlling person in the Subject Person; and (bb) subject always to sub-clause (aa) above, where a person does not exercise control over an intermediary, which has shareholding in the Subject Person, the computation of indirect shareholding of such person in the Subject Person shall be undertaken on a proportionate basis; provided, however, that no such shareholding shall be reckoned under this sub-clause (bb) if the shareholding of such person in the intermediary is less than 35% (thirty five per cent) of the subscribed and paid up equity shareholding of such intermediary; or
 - ii. A constituent of such Applicant is also a constituent of another Applicant; or
 - iii. Such Applicant, or any Associate thereof receives or has received any direct or indirect subsidy, grant, concessional loan or subordinated debt from any other Applicant, or any Associate thereof or has provided any such subsidy, grant, concessional loan or subordinated debt to any other Applicant, its Member or any Associate thereof; or
 - iv. Such Applicant has the same legal representative for purposes of this Application as any other Applicant; or
 - v. Such Applicant, or any Associate thereof has a relationship with another Applicant, or any Associate thereof, directly or through common third party/ parties, that puts either or both of them in a position to have access to each others’ information about, or to influence the Application of either or each other; or

- vi. Such Applicant, or any Associate thereof has participated as a consultant to the JNAC in the preparation of any documents, design or technical specifications of the Project.
- d. Applicant shall form an appropriate Special Purpose Vehicle (the “SPV”), incorporated under the Indian Companies Act, 1956, to execute the Concession Agreement and implement the Project.
- e. Single Applicant shall hold 100% equity in the SPV equivalent to at least 20% of the Total Project Cost, till end of the concession period as per Concessionaire Agreement.
- f. In case of Consortium, the Parties to consortium undertake that each of the Parties separately shall, at all times till end of the Concession period, hold paid up equity share capital of SPV equivalent to at least 10% (ten per cent) of the Total Project Cost specified in the Concession Agreement.

Explanation:

In case an Applicant is a Consortium, then the term Applicant as used in this Clause 2.2.1, shall include each Member of such Consortium.

2.2.2 To be eligible for pre-qualification and short-listing, an Applicant shall fulfill all the following conditions (A) and (B) of eligibility:

(A) Technical Capacity

For demonstrating technical capacity and experience (the “**Technical Capacity**”), the **Bidder in the last three financial years** should have undertaken Public Private partnership (PPP) projects within India on BOT, BOLT, BOO, BOOT, DBOOT or other similar basis, (“**Eligible Projects**”) with at least:

- Door-to-door collection of MSW not less than 50 TPD from a single city (for at least one year), and
- Secondary Collection and transportation of 150 TPD of MSW for a single city (for at least one year) , and
- Design, construction, operation and maintenance of engineered sanitary landfill facility for a minimum life of 5 years for a city generating at least 150 TPD or for a minimum capacity of 50,000 cum (landfill should be operational for at least one year) and
- Developed and operated compost plant of capacity 50 TPD or more for at least one year.

The entity claiming above experiences should have held, in the company owing the eligible project, a minimum of 35% (thirty five percent) equity during the entire year for which Technical experience is being claimed.

(B) Financial Capacity:

- (i) Turnover: Applicant shall, over the past 3 (three) financial years preceding the Application Due Date, has average annual turnover of more than Rs. 30.0 cr. (Rupees Thirty crore) (“**Threshold Technical Capacity**”) and shall have not incurred losses in any of the last three financial years.

- (ii) Net Worth: The Applicant shall have a minimum average annual Net Worth (**the “Financial Capacity”**) of Rs. 60.0 cr. (Rupees Sixty crore) at the close of the preceding financial year.
- (iii) The bidder shall not be a defaulter to any taxation department viz. income tax and sale tax.

In case of a Consortium, the combined technical capacity and financial capacity of all Members would be considered.

2.2.3 The Applicant shall enclose with its application, to be submitted as per the format in Appendix-I, complete with its Annexes, the following:

- (i) Certificate(s) from its concerned client(s) in support of above work undertaken clearly stating quantities collected /transported /installed capacities of the compost plant designed, operated and maintained/installed capacities of landfill deigned, operated and maintained as the case may be, during the past 3 years in respect of the projects specified in paragraph 2.2.2 (A) above. In case a particular work/ contract has been jointly executed by the Applicant (as part of a consortium), it should further support its claim for the share in work done for that particular work/ contract by producing a certificate from its client; and
- (ii) Certificate(s) from its Statutory Auditors specifying Turnover of the Applicant, as at the close of the preceding financial year.
- (ii) Certificate(s) from its Statutory Auditors specifying the net worth of the Applicant, as at the close of the preceding financial year, and also specifying that the methodology adopted for calculating such net worth. For the purposes of this RFQ, net worth (the **“Net Worth”**) shall mean the sum of subscribed and paid up equity and reserves from which shall be deducted the sum of revaluation reserves, miscellaneous expenditure not written off and reserves not available for distribution to equity share holders.

2.2.4 The Applicant should submit a Power of Attorney as per the format at Appendix-II, authorising the signatory of the Application to commit the Applicant. In the case of a Consortium, the Members should submit a Power of Attorney in favour of the Lead Member as per format at Appendix-III.

2.2.5 In case the Applicant is a Consortium, it shall, in addition to forming an SPV, comply with the following additional requirements:

- (a) Number of members in a consortium shall not exceed 3 (three)
- (b) Subject to the provisions of sub-clause (a) above, the Application should contain the information required for each member of the Consortium;
- (c) Members of the Consortium shall nominate one member as the lead member (the **“Lead Member”**), who shall have an equity share holding of at least 35% (thirty five per cent) of the paid up and subscribed equity of the SPV. The nomination(s) shall

be supported by a Power of Attorney, as per the format at Appendix-III, signed by all the other members of the Consortium;

- (d) The Application should include a brief description of the roles and responsibilities of individual members, particularly with reference to financial, technical and operation and maintenance obligations;
- (e) An individual Applicant cannot at the same time be member of a Consortium applying for pre-qualification. Further, a member of a particular Applicant Consortium cannot be member of any other Applicant Consortium applying for pre-qualification;
- (f) The members of a Consortium shall form an appropriate SPV to execute the Project, if awarded to the Consortium;
- (g) Members of the Consortium shall enter into a binding Joint Bidding Agreement, substantially in the form specified at Appendix-IV (the "**Jt. Bidding Agreement**"), for the purpose of making the Application and submitting a Bid in the event of being short-listed. The Jt. Bidding Agreement, to be submitted along with the Application, shall, *inter alia*:
 - (i) Convey the intent to form an SPV with shareholding/ ownership equity commitment(s) in accordance with this RfQ, which would enter into the Concession Agreement and subsequently perform all the obligations of the Concessionaire in terms of the Concession Agreement, in case the concession to undertake the Project is awarded to the Consortium;
 - (ii) Clearly outline the proposed roles and responsibilities, if any, of each member;
 - (iii) Commit the minimum equity stake to be held by each member;
 - (iv) Commit that each of the members, whose experience will be evaluated for the purposes of this RfQ, shall subscribe to at least 26% (twenty six per cent) or more of the paid up and subscribed equity of the SPV.
 - (v) Members of the Consortium undertake that they shall collectively hold subscribed and paid up equity of the SPV at all times during the concession period of 30 years; and
 - (vi) Include a statement to the effect that all members of the Consortium shall be liable jointly and severally for all obligations of the Concessionaire in relation to the Project during the concession period of 30 years in accordance with the Concession Agreement; and
- (h) Except as provided under this RFQ and the Bidding Documents, there shall not be any amendment to the Jt. Bidding Agreement without the prior written consent of the JNAC.

2.2.6 Any entity which has been barred by the Central/ State Government, or any entity controlled by it, from participating in any project (BOT or otherwise), and the bar subsists as on the date of Application, would not be eligible to submit an Application, either individually or as member of a Consortium.

- 2.2.7 An Applicant including any Consortium Member or Associate should, in the last 3 (three) years, have neither failed to perform on any contract, as evidenced by imposition of a penalty by an arbitral or judicial body JNAC or a judicial pronouncement or arbitration award against the Applicant, Consortium Member or Associate, as the case may be, nor has been expelled from any project or contract by any public entity nor have had any contract terminated by any public entity for breach by such Applicant, Consortium Member or Associate.
- 2.2.8 In computing the Technical Capacity, Turnover and Net Worth of the Applicant/ Consortium Members, the Technical Capacity, Turnover and Net Worth of their respective Associates would also be eligible hereunder.

For purposes of this RfQ, Associate means, in relation to the Applicant/ Consortium Member, a person who controls, is controlled by, or is under the common control with such Applicant/ Consortium Member (the “**Associate**”). As used in this definition, the expression “control” means, with respect to a person which is a company or corporation, the ownership, directly or indirectly, of more than 50% (fifty per cent) of the voting shares of such person, and with respect to a person which is not a company or corporation, the power to direct the management and policies of such person by operation of law.

- 2.2.9 The following conditions shall be adhered to while submitting an Application:
- (a) Applicants should attach clearly marked and referenced continuation sheets in the event that the space provided in the prescribed forms in the Annexes is insufficient. Alternatively, Applicants may format the prescribed forms making due provision for incorporation of the requested information;
 - (b) Information supplied by an Applicant (or other constituent Member if the Applicant is a Consortium) must apply to the Applicant, Member or Associate named in the Application and not, unless specifically requested, to other associated companies or firms. Invitation to submit Bids will be issued only to Applicants whose identity and constitution is identical to that at pre-qualification;
 - (c) In responding to the pre-qualification submissions, Applicants should demonstrate their capabilities in accordance with Clause 3.1 below; and
 - (d) In case the Applicant is a Consortium, each Member should substantially satisfy the pre-qualification requirements to the extent specified herein.

2.2.10 Qualification is open only to residents/entities incorporated in India.

2.2.11 Notwithstanding anything to the contrary contained herein, in the event that the Application Due Date falls within three months of the closing of the latest financial year of an Applicant, it shall ignore such financial year for the purposes of its Application and furnish all its information and certification with reference to the 3 (three) years or 1 (one) year, as the case may be, preceding its latest financial year. For the avoidance of doubt, financial year shall, for the purposes of an Application hereunder, mean the accounting year followed by the Applicant in the course of its normal business.

2.3 Composition of the Consortium

Change in the composition of a Consortium will not be permitted by the JNAC. Once designated, no change would be allowed in the Lead Member of the Consortium.

2.4 Number of Applications and costs thereof

- 2.4.1 No Applicant shall submit more than one Application for the Project. An applicant applying individually or as a member of a Consortium shall not be entitled to submit another application either individually or as a member of any Consortium, as the case may be.
- 2.4.2 The Applicants shall be responsible for all of the costs associated with the preparation of their Applications and their participation in the Bid Process. The JNAC will not be responsible or in any way liable for such costs, regardless of the conduct or outcome of the Bidding Process.

2.5 Site visit and verification of information

Applicants are encouraged to submit their respective Applications after visiting the Project site and ascertaining for themselves the site conditions, traffic, location, surroundings, climate, availability of power, water and other utilities for construction, access to site, handling and storage of materials, weather data, applicable laws and regulations, and any other matter considered relevant by them.

2.6 Acknowledgement by Applicant

- 2.6.1 It shall be deemed that by submitting the Application, the Applicant has:
- (a) made a complete and careful examination of the RFQ;
 - (b) received all relevant information requested from the JNAC;
 - (c) accepted the risk of inadequacy, error or mistake in the information provided in the RFQ or furnished by or on behalf of the JNAC relating to any of the matters referred to in Clause 2.5 above; and
 - (d) agreed to be bound by the undertakings provided by it under and in terms hereof.
- 2.6.2 The JNAC shall not be liable for any omission, mistake or error in respect of any of the above or on account of any matter or thing arising out of or concerning or relating to the RFQ or the Bidding Process, including any error or mistake therein or in any information or data given by the JNAC.

2.7 Right to accept or reject any or all Applications/ Bids

- 2.7.1 Notwithstanding anything contained in this RFQ, the JNAC reserves the right to accept or reject any Application and to annul the Bidding Process and reject all Applications/ Bids, at any time without any liability or any obligation for such acceptance, rejection or annulment, and without assigning any reasons therefore. In the event that the JNAC rejects or annuls all the Bids, it may, in its discretion, invite all eligible Bidders to submit fresh Bids hereunder.

2.7.2 The JNAC reserves the right to reject any Application and/ or Bid if:

- (a) At any time, a material misrepresentation is made or uncovered, or
- (b) The Applicant does not provide, within the time specified by the JNAC, the supplemental information sought by the JNAC for evaluation of the Application. If the Applicant/Bidder is a Consortium, then the entire Consortium may be disqualified/ rejected. If such disqualification/ rejection occur after the Bids have been opened and the Highest Bidder gets disqualified/ rejected, then the JNAC reserves the right to:
 - (i) Invite the remaining Bidders to match the Highest Bidder/ submit their Bids in accordance with the RfP; or
 - (ii) Take any such measure as may be deemed fit in the sole discretion of the JNAC, including annulment of the Bidding Process.

2.7.3 In case it is found during the evaluation or at any time before signing of the Concession Agreement or after its execution and during the period of subsistence thereof, including the concession thereby granted by the JNAC, that one or more of the pre-qualification conditions have not been met by the Applicant, or the Applicant has made material misrepresentation or has given any materially incorrect or false information, the Applicant shall be disqualified forthwith if not yet appointed as the Concessionaire either by issue of the LOA or entering into of the Concession Agreement, and if the Applicant/SPV has already been issued the LOA or has entered into the Concession Agreement, as the case may be, the same shall, notwithstanding anything to the contrary contained therein or in this RFQ, be liable to be terminated, by a communication in writing by the JNAC to the Applicant, without the JNAC being liable in any manner whatsoever to the Applicant and without prejudice to any other right or remedy which the JNAC may have under this RFQ, the Bidding Documents, the Concession Agreement or under applicable law.

2.7.4 The JNAC reserves the right to verify all statements, information and documents submitted by the Applicant in response to the RFQ. Any such verification or lack of such verification by the JNAC shall not relieve the Applicant of its obligations or liabilities hereunder nor will it affect any rights of the JNAC there under.

2.7.5 Nonperformance certificate shall be provided as per Annexure V for the last three years. The bidder shall also provide information on any pending litigations as per Annexure VI.

B. DOCUMENTS

2.8 Contents of the RfQ

This RfQ comprises the disclaimer set forth hereinabove, the contents as listed below, and will additionally include any Addenda issued in accordance with Clause 2.10.

Invitation for Qualification

- Section 1. Introduction
- Section 2. Instructions to Applicants
- Section 3. Criteria for Evaluation
- Section 4. Fraud and Corrupt Practices
- Section 5. Pre Application Conference

Section 6. Miscellaneous

Appendices

- I. Letter comprising the Application
- II. Power of Attorney for signing of Application
- III. Power of Attorney for Lead Member of Consortium
- IV. Joint Bidding Agreement for Consortium
- V. Guidelines of the Department of Disinvestment

2.9 Clarifications

- 2.9.1 Applicants requiring any clarification on the RfQ may notify the JNAC in writing or by fax and e-mail in accordance with Clause 1.3.7. They should send in their queries before the date specified in the schedule of Bidding Process contained in Clause 1.4. The JNAC shall endeavor to respond to the queries within the period specified therein. The responses will be sent by fax and/or e-mail. The JNAC will forward all the queries and its responses thereto, to all purchasers of the RfQ without identifying the source of queries.
- 2.9.2 The JNAC shall endeavor to respond to the questions raised or clarifications sought by the Applicants. However, the JNAC reserves the right not to respond to any question or provide any clarification, in its sole discretion, and nothing in this Clause shall be taken or read as compelling or requiring the JNAC to respond to any question or to provide any clarification.
- 2.9.3 The JNAC may also on its own motion, if deemed necessary, issue interpretations and clarifications to all Applicants. All clarifications and interpretations issued by the JNAC shall be deemed to be part of the RfQ. Verbal clarifications and information given by JNAC or its employees or representatives shall not in any way or manner be binding on the JNAC.

2.10 Amendment of RfQ

- 2.10.1 At any time prior to the deadline for submission of Application, the JNAC may, for any reason, whether at its own initiative or in response to clarifications requested by an Applicant, modify the RFQ by the issuance of Addenda.
- 2.10.2 Any Addendum thus issued will be sent in writing to all those who have purchased the RfQ.
- 2.10.3 In order to afford the Applicants a reasonable time for taking an Addendum into account, or for any other reason, the JNAC may, in its sole discretion, extend the Application Due Date.

C. PREPARATION AND SUBMISSION OF APPLICATION

2.11 Language

The Application and all related correspondence and documents in relation to the Bidding Process shall be in English language. Supporting documents and printed literature furnished by the Applicant with the Application may be in any other language provided that they are accompanied by translations of all the pertinent passages in the English language, duly authenticated and certified by the Applicant. Supporting materials, which

are not translated into English, may not be considered. For the purpose of interpretation and evaluation of the Application, the English language translation shall prevail.

2.12 Format and signing of Application

- 2.12.1 The Applicant shall provide all the information sought under this RfQ. The JNAC will evaluate only those Applications that are received in the required formats and complete in all respects. Incomplete and /or conditional Applications shall be liable to rejection.
- 2.12.2 The Applicant shall prepare 1 (one) original set of the Application (together with originals/ copies of documents required to be submitted along therewith pursuant to this RfQ) and clearly marked "ORIGINAL". In addition, the Applicant shall submit 1 (one) copy of the Application, along with documents required to be submitted along therewith pursuant to this RFQ, marked "COPY". The Applicant shall also provide 2 (two) soft copies on Compact Disc (CD). In the event of any discrepancy between the original and the copy, the original shall prevail.
- 2.12.3 The Application and its copy shall be typed or written in indelible ink and signed by the authorized signatory of the Applicant who shall also initial each page in blue ink. In case of printed and published Documents, only the cover shall be initialed. All the alterations, omissions, additions or any other amendments made to the Application shall be initialed by the person(s) signing the Application. The Application shall contain page numbers and shall be bound together in hard cover.

2.13 Sealing and Marking of Applications

- 2.13.1 The Applicant shall submit the Application in the format specified at Appendix-I, together with the documents specified in Clause 2.13.2, and seal it in an envelope and mark the envelope as "APPLICATION". The Applicant shall seal the original and the copy of the Application, together with their respective enclosures, in separate envelopes duly marking the envelopes as "ORIGINAL" and "COPY".
- 2.13.2 Each envelope shall contain:
- (i) Application in the prescribed format (Appendix-I) along with Annexes and supporting documents;
 - (ii) Power of Attorney for signing the Application as per the format at Appendix- II;
 - (iii) If applicable, the Power of Attorney for Lead Member of Consortium as per the format at Appendix-III;
 - (iv) Copy of the Jt. Bidding Agreement, in case of a Consortium, substantially in the format at Appendix-IV;
 - (v) Copy of Memorandum and Articles of Association, if the Applicant is a body corporate, and if a partnership then a copy of its partnership deed;
 - (vi) Copies of Applicant's duly audited balance sheet and profit and loss account for the preceding three years; and

Each of the envelopes shall clearly bear the following identification:

“Application for Qualification: Municipal Solid Waste (MSW) Management Project in Jamshedpur”

and shall clearly indicate the name and address of the Applicant.

2.13.3 Each of the envelopes shall be addressed to:

Special Officer
Jamshedpur Notified Area Committee (JNAC)
Sakshi, Jamshedpur – 831001
Phone: 0657-2423280
Fax:
Website: www.jamshedpur.nic.in

2.13.4 If the envelopes are not sealed and marked as instructed above, the JNAC assumes no responsibility for the misplacement or premature opening of the contents of the Application and consequent losses, if any, suffered by the Applicant.

2.13.5 Applications submitted by fax, telex, telegram or e-mail shall not be entertained and shall be rejected.

2.14 Application Due Date

2.14.1 Applications should be submitted before 1500 hours IST on the Application Due Date, at the address provided in Clause 2.13.3 in the manner and form as detailed in this RfQ. A receipt thereof should be obtained from the person specified in Clause 2.13.3. The JNAC may, in its sole discretion, extend the Application Due Date by issuing an Addendum in accordance with Clause 2.10 uniformly for all Applicants.

2.15 Late Applications

Applications received by the JNAC after the specified time on the Application Due Date shall not be eligible for consideration and shall be summarily rejected.

2.16 Modifications/ substitution/ withdrawal of Applications

No modifications will be allowed once the RfQ is submitted, unless some clarifications are sought by the JNAC, as specified in Clause 2.20.

D. EVALUATION PROCESS

2.17 Opening and Evaluation of Applications

2.17.1 The JNAC shall open the Applications at 1530 hours IST on the Application Due Date, at the place specified in Clause 2.13.3 and in the presence of the Applicants who choose to attend.

2.17.2 Applications for which a notice of withdrawal has been submitted shall not be opened.

- 2.17.3 The JNAC will subsequently examine and evaluate Applications in accordance with the provisions set out in Section 3.
- 2.17.4 Applicants are advised that pre-qualification of Applicants will be entirely at the discretion of the JNAC. Applicants will be deemed to have understood and agreed that no explanation or justification on any aspect of the Bidding Process or selection will be given.
- 2.17.5 Any information contained in the Application shall not in any way be construed as binding on the JNAC, its agents, successors or assigns, but shall be binding against the Applicant if the Project is subsequently awarded to it on the basis of such information.
- 2.17.6 The JNAC reserves the right not to proceed with the Bidding Process at any time without notice or liability and to reject any or all Application(s) without assigning any reasons.
- 2.17.7 If any information furnished by the Applicant is found to be incomplete, or contained in formats other than those specified herein, the JNAC may, in its sole discretion, exclude the relevant project from computation of the Eligible Score of the Applicant.
- 2.17.8 In the event that an Applicant claims credit for an Eligible Project, and such claim is determined by the JNAC as incorrect or erroneous, the JNAC shall reject such claim and exclude the same from computation of the Eligible Score, and may also, while computing the aggregate Experience Score of the Applicant, make a further deduction equivalent to the claim rejected hereunder. Where any information is found to be patently false or amounting to a material misrepresentation, the JNAC reserves the right to reject the Application and/ or Bid in accordance with the provisions of Clauses 2.7.2 and 2.7.3.

2.18 Confidentiality

Information relating to the examination, clarification, evaluation, and recommendation for the short-listed pre-qualified Applicants shall not be disclosed to any person who is not officially concerned with the process or is not a retained professional advisor advising the JNAC in relation to or matters arising out of, or concerning the Bidding Process. The JNAC will treat all information, submitted as part of Application, in confidence and will require all those who have access to such material to treat the same in confidence. The JNAC may not divulge any such information unless it is directed to do so by any statutory entity that has the power under law to require its disclosure or is to enforce or assert any right or privilege of the statutory entity and/ or the JNAC or as may be required by law or in connection with any legal process.

2.19 Tests of responsiveness

- 2.19.1 Prior to evaluation of Applications, the JNAC shall determine whether each Application is responsive to the requirements of the RfQ. An Application shall be considered responsive only if:
- (a) it is received as per format at Appendix-I;
 - (b) it is received by the Application Due Date including any extension
 - (c) it is signed, sealed, bound together in hard cover, and marked as stipulated in Clauses 2.12 and 2.13;

- (d) it is accompanied by the Power of Attorney as specified in Clause 2.2.5, and in the case of a Consortium, the Power of Attorney as specified in Clause 2.2.5 (c);
- (e) it contains all the information and documents (complete in all respects) as requested in this RFQ;
- (f) it contains information in formats same as those specified in this RFQ;
- (g) it contains certificates from its statutory auditors in the formats specified at Appendix-I of the RFQ for each Eligible Project;
- (h) it contains an attested copy of the receipt for payment of Rs. 10,000 (Rupees ten thousand only) to JNAC towards the cost of the RFQ document/ Demand Draft of Rs 10,000 in favor of Special Officer, Jamshedpur Notified Area Committee (JNAC), payable at Jamshedpur in case RfQ is downloaded from website. The Demand Draft towards cost of RfQ (in case downloaded from website) should be made on or before the last date of sale of RfQ as per Clause 1.4, failing which the bid will be liable for rejection;
- (i) It is accompanied by the Jt. Bidding Agreement (for Consortium), specific to the Project, as stipulated in Clause 2.2.5 (g);
- (j) It does not contain any condition or qualification; and
- (k) it is not non-responsive in terms hereof.

2.19.2 The JNAC reserves the right to reject any Application which is non-responsive and no request for alteration, modification, substitution or withdrawal shall be entertained by the JNAC in respect of such Application.

2.20 Clarifications

2.20.1 To facilitate evaluation of Applications, the JNAC may, at its sole discretion, seek clarifications from any Applicant regarding its Application. Such clarification(s) shall be provided within the time specified by the JNAC for this purpose. Any request for clarification(s) and all clarification(s) in response thereto shall be in writing.

2.20.2 If an Applicant does not provide clarifications sought under Clause 2.20.1 above within the prescribed time, its Application shall be liable to be rejected. In case the Application is not rejected, the JNAC may proceed to evaluate the Application by construing the particulars requiring clarification to the best of its understanding, and the Applicant shall be barred from subsequently questioning such interpretation of the JNAC.

E. QUALIFICATION AND BIDDING

2.21 Short-listing and notification

After the evaluation of Applications, the JNAC would announce a list of short-listed pre-qualified Applicants (Bidders) who will be eligible for participation in the Bid Stage. At the same time, the JNAC would notify the other Applicants that they have not been short-listed. The JNAC will not entertain any query or clarification from Applicants who fail to qualify.

2.22 Submission of Bids

The Bidders will be requested to submit a Bid in the form and manner to be set out in the Bidding Documents. Only pre-qualified Applicants shall be invited by the JNAC to submit their Bids for the Project.

2.23 Proprietary data

All documents and other information supplied by the JNAC or submitted by an Applicant to the JNAC shall remain or become the property of the JNAC. Applicants are to treat all information as strictly confidential and shall not use it for any purpose other than for preparation and submission of their Application. The JNAC will not return any Application or any information provided along therewith.

2.24 Correspondence with the Applicant

Save and except as provided in this RFQ, the JNAC shall not entertain any correspondence with any Applicant in relation to the acceptance or rejection of any Application.

3.0 Criteria for Evaluation

3.1 Evaluation parameters

Only those Applicants who meet the eligibility criteria specified in Clause 2.2.2 above shall qualify for evaluation under this Section 3. Applications of firms/ consortia who do not meet these criteria shall be rejected.

3.2 Applicant's Competence

The Applicant's competence and capability for projects undertaken in last three financial years and operational for a period at least one year only be considered for purpose of evaluation as per following parameters:

S.No.	Parameter	Indicator	Maximum Experience Score	Experience Score
1	Experience in door to door collection of at least in one city in India	a) Number of Projects	a) 5	
		1 projects		2
		2 projects		4
		>2 projects		5
		b) Actual MSW collected from households during last three financial years	b) 10	
		Less than 50 TPD		0
		From 50 TPD to 100 TPD		2
		For every additional 100 TPD, 2 marks upto the maximum of 500 TPD	10	
2	Experience in Collection and Transportation	a) Number of Projects	a) 5	
		1 projects		2
		2 projects		4
		>2 projects		5
		b) Actual MSW collected and transported during last three financial years	b) 20	
		Less than 100 TPD		0
		From 150 to 200 TPD		4
		For every additional 200 TPD, 4 marks upto the maximum of 1000 TPD	20	
3	Experience in design, construction, operation and maintenance of Sanitary Landfill	a) Number of Projects	a) 5	
		1 projects		2
		2 projects		4
		>2 projects		5
		b) Landfill Capacity	b) 25	
				Sanitary landfill of capacity to accommodate waste of 5 years for a city generating at least 150 TPD or for a minimum capacity of

		50,000 cum		
		- < 50,000 cum		0
		- 50,000cum - 1,00,000cum		15
		- Above 1,00,000 cum		25
4	Experience in design, construction, operation and maintenance of waste processing facilities	a) Number of Projects	a) 5	
		1 projects		2
		2 projects		4
		>2 projects		5
		b) Methods of processing used	b) 25	
		(i) Composting	- 15	
		- Plant capacity <50 TPD		0
		- Plant capacity 50-100 TPD		10
		- Plant capacity >100 TPD		15
		(ii) Other technologies like mechanical separation of recyclables (material recovery facility)/ plastic recycling plant/paper recycling plant/Construction and demolition waste processing plant/etc.	- 10	
		- Experience in one technology		5
		- Experience in more than one technology		10

3.2.1 The Experience Score under various projects as claimed by the Applicant is valid only if the concerned activities/facilities for which the experience is claimed are in operation for atleast one year. The Applicant shall submit duly attested client certificates to certify the duration of experience.

3.2.2 The credentials of eligible Applicants shall be measured in terms of their Experience Score. The sum total of the Experience Scores for all Eligible Projects shall be the 'Aggregate Experience Score' of a particular Applicant. Any bidder who achieves a score of 70 marks (threshold score) would be deemed to meet the technical experience criterion for qualification.

3.2.3 In case of a Consortium, Lead Member shall on its own strength/experience obtain at least 35 marks out of 100.

3.2.4 Experience for any activity relating to the Project shall not be claimed by two or more Members of the Consortium. In other words, no double counting by a Consortium in respect of the same experience shall be permitted in any manner whatsoever.

3.3 Details of Experience

3.3.1 The Applicant should furnish the details of its complete Experience in MSW sector till date. The Applicant in its project experience shall furnish the project name, scope of

work, date of award, project duration, commercial operations date, present status and reasons for its delay/termination/withheld.

3.3.2 The Applicants must provide the necessary information relating to Technical Capacity as per format at Annex-II of Appendix-I.

3.3.3 The Applicant should furnish the required Project-specific information and evidence in support of its claim of Technical Capacity, as per format at Annex-IV of Appendix-I.

3.4 Financial information for purposes of evaluation

3.4.1 The Application must be accompanied by the self-attested copies of Audited Annual Reports of the Applicant (of each Member in case of a Consortium) for the last 3 (three) financial years, preceding the year in which the Application is made.

3.4.2 In case the annual accounts for the latest financial year are not audited and therefore the Applicant cannot make it available, the Applicant shall give an undertaking to this effect and the statutory auditor shall certify the same. In such a case, the Applicant shall provide the Audited Annual Reports for 3 (three) years preceding the year for which the Audited Annual Report is not being provided.

3.5 Short-listing of Applicants

3.5.1 The Applicants shall then be ranked on the basis of their respective Aggregate Experience Score and short listed. Score of top 4 (four) Applicants would be declared as pre-qualified Applicants for participation in the Bid Stage. The JNAC however reserves the right to increase or decrease the number of shortlisted pre-qualified Applicants based on their Scores.

3.5.2 The JNAC may, in its discretion, maintain a reserve list of pre-qualified Applicants who may be invited to substitute the short-listed Applicants in the event of their withdrawal from the Bid Process or upon their failure to conform to the conditions specified herein; provided that a substituted Applicant shall be given at least 30 (thirty) days to submit its Bid.

4.0 Fraud and Corrupt Practices

4.1 The Applicants and their respective officers, employees, agents and advisers shall observe the highest standard of ethics during the Bidding Process. Notwithstanding anything to the contrary contained herein, the JNAC may reject an Application without being liable in any manner whatsoever to the Applicant if it determines that the Applicant has, directly or indirectly or through an agent, engaged in corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice in the Bidding Process.

4.2 Without prejudice to the rights of the JNAC under Clause 4.1 hereinabove, if an Applicant is found by the JNAC to have directly or indirectly or through an agent, engaged or indulged in any corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice during the Bidding Process, such Applicant

shall not be eligible to participate in any tender or RFQ issued by the JNAC during a period of 2 (two) years from the date such Applicant is found by the JNAC to have directly or indirectly or through an agent, engaged or indulged in any corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice, as the case may be.

4.3 For the purposes of this Section 4, the following terms shall have the meaning hereinafter respectively assigned to them:

- (a) “**corrupt practice**” means (i) the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence the actions of any person connected with the Bidding Process (for avoidance of doubt, offering of employment to, or employing, or engaging in any manner whatsoever, directly or indirectly, any official of the JNAC who is or has been associated in any manner, directly or indirectly, with the Bidding Process or the LOA or has dealt with matters concerning the Concession Agreement or arising therefrom, before or after the execution thereof, at any time prior to the expiry of one year from the date such official resigns or retires from or otherwise ceases to be in the service of the JNAC, shall be deemed to constitute influencing the actions of a person connected with the Bidding Process);, engaging in any manner whatsoever, whether during the Bidding Process or after the issue of the LOA or after the execution of the Concession Agreement, as the case may be, any person in respect of any matter relating to the Project or the LOA or the Concession Agreement, who at any time has been or is a legal, financial or technical adviser of the JNAC in relation to any matter concerning the Project;
- (b) “**fraudulent practice**” means a misrepresentation or omission of facts or suppression of facts or disclosure of incomplete facts, in order to influence the Bidding Process;
- (c) “**coercive practice**” means impairing or harming or threatening to impair or harm, directly or indirectly, any person or property to influence any person’s participation or action in the Bidding Process;
- (d) “**undesirable practice**” means (i) establishing contact with any person connected with or employed or engaged by the JNAC with the objective of canvassing, lobbying or in any manner influencing or attempting to influence the Bidding Process; or (ii) having a Conflict of Interest; and
- (e) “**restrictive practice**” means forming a cartel or arriving at any understanding or arrangement among Applicants with the objective of restricting or manipulating a full and fair competition in the Bidding Process.

5.0 Pre-Application Conference

5.1 A Pre-Application conference of the interested parties shall be convened at the designated date, time and place. Only those persons who have purchased the RfQ document shall be allowed to participate in the Pre-Application conference. Applicants who have downloaded the RfQ document from the website (www.jamshedpur.nic.in) should submit a Demand Draft of Rs.10000.00 (Rupees Ten Thousand only) towards the cost of document, through their representative attending the conference. A maximum of

three representatives of each Applicant shall be allowed to participate on production of JNAC letter from the Applicant.

- 5.2 During the course of Pre-Application conference, the Applicants will be free to seek clarifications and make suggestions for consideration of the JNAC. The JNAC shall endeavor to provide clarifications and such further information as it may, in its sole discretion, consider appropriate for facilitating a fair, transparent and competitive Bidding Process.

6.0 Miscellaneous

- 6.1 The Bidding Process shall be governed by, and construed in accordance with, the laws of India and the Courts at Jamshedpur shall have exclusive jurisdiction over all disputes arising under, pursuant to and/ or in connection with the Bidding Process.
- 6.2 The JNAC, in its sole discretion and without incurring any obligation or liability, reserves the right, at any time, to;
- (a) Suspend and/ or cancel the Bidding Process and/ or amend and/ or supplement the Bidding Process or modify the dates or other terms and conditions relating thereto;
 - (b) Consult with any Applicant in order to receive clarification or further information;
 - (c) Pre-qualify or not to pre-qualify any Applicant and/ or to consult with any Applicant in order to receive clarification or further information;
 - (d) Retain any information and/ or evidence submitted to the JNAC by, on behalf of, and/ or in relation to any Applicant; and/ or
 - (e) Independently verify, disqualify, reject and/ or accept any and all submissions or other information and/ or evidence submitted by or on behalf of any Applicant.
- 6.3 It shall be deemed that by submitting the Application, the Applicant agrees and releases the JNAC, its employees, agents and advisers, irrevocably, unconditionally, fully and finally from any and all liability for claims, losses, damages, costs, expenses or liabilities in any way related to or arising from the exercise of any rights and/ or performance of any obligations hereunder and the Bidding Documents, pursuant hereto, and/ or in connection with the Bidding Process, to the fullest extent permitted by applicable law, and waives any and all rights and/ or claims it may have in this respect, whether actual or contingent, whether present or in future.

Appendices

APPENDIX-I Letter Comprising the Application for Pre-Qualification

(Refer Clause 2.13.2)

Dated:

To

Special Officer
Jamshedpur Notified Area Committee (JNAC)
Sakshi, Jamshedpur – 831001 (Jharkhand)
Phone: 0657-2423280
Fax:

Sub: Application for pre-qualification for Municipal Solid Waste (MSW) Management Project in Jamshedpur

Dear Sir:

With reference to your RfQ document dated, I/we, having examined the RfQ document and understood its contents, hereby submit my/our Application for Qualification for the aforesaid project. The Application is unconditional and unqualified.

2. I/ We acknowledge that the JNAC will be relying on the information provided in the Application and the documents accompanying such Application for pre-qualification of the Applicants for the aforesaid project, and we certify that all information provided in the Application and in Annexes I to V is true and correct; nothing has been omitted which renders such information misleading; and all documents accompanying such Application are true copies of their respective originals.
3. This statement is made for the express purpose of qualifying as a Bidder for the [development, construction, operation and maintenance] of the aforesaid Project.
4. I/ We shall make available to the JNAC any additional information it may find necessary or require to supplement or authenticate the Qualification statement.
5. I/ We acknowledge the right of the JNAC to reject our Application without assigning any reason or otherwise and hereby waive, to the fullest extent permitted by applicable law, our right to challenge the same on any account whatsoever.
6. I/ We certify that in the last three years, we/ any of the Consortium Members or our/ their Associates have neither failed to perform on any contract, as evidenced by imposition of a penalty by an arbitral or judicial JNAC or a judicial pronouncement or arbitration award, nor been expelled from any project or contract by any public JNAC nor have had any contract terminated by any public JNAC for breach on our part.

7. I/ We declare that:
 - (a) I/ We have examined and have no reservations to the RfQ document, including any Addendum issued by the JNAC;
 - (b) I/ We do not have any conflict of interest in accordance with Clauses 2.2.1(c) of the RfQ document;
 - (c) I/We have not directly or indirectly or through an agent engaged or indulged in any corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice, as defined in Clause 4.3 of the RfQ document, in respect of any tender or request for proposal issued by or any agreement entered into with the JNAC or any other public sector enterprise or any government, Central or State; and
 - (d) I/ We hereby certify that we have taken steps to ensure that in conformity with the provisions of Section 4 of the RfQ document, no person acting for us or on our behalf has engaged or will engage in any corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice.
8. I/ We understand that you may cancel the Bidding Process at any time and that you are neither bound to accept any Application that you may receive nor to invite the Applicants to Bid for the Project, without incurring any liability to the Applicants, in accordance with Clause 2.17.6 of the RfQ document.
9. I/ We believe that we/ our Consortium/ proposed Consortium satisfy(s) the Net Worth criteria and meet(s) all the requirements as specified in the RfQ document and are/ is qualified to submit a Bid.
10. I/ We declare that we/ any Member of the Consortium, or our/ its Associates are not a Member of a/ any other Consortium applying for pre-qualification.
11. I/ We certify that in regard to matters other than security and integrity of the country, we/ any Member of the Consortium or any of our/ their Associates have not been convicted by a Court of Law or indicted or adverse orders passed by a regulatory which could cast a doubt on our ability to undertake the Project or which relates to a grave offence that outrages the moral sense of the community.
12. I/ We further certify that in regard to matters relating to security and integrity of the country, we/ any Member of the Consortium or any of our/ their Associates have not been charge-sheeted by any agency of the Government or convicted by a Court of Law.
13. I/ We further certify that no investigation by a regulatory JNAC is pending either against us/ any Member of the Consortium or against our/ their Associates or against our CEO or any of our directors/ managers/ employees.
14. I/ We further certify that we are qualified to submit a Bid in accordance with the guidelines for qualification of bidders seeking to acquire stakes in Public Sector Enterprises through the process of disinvestment issued by the GOI vide Department of Disinvestment OM No. 6/4/2001-DD-II dated 13th July, 2001 which guidelines apply *mutatis mutandis* to the Bidding Process.

15. I/ We undertake that in case due to any change in facts or circumstances during the Bidding Process, we are attracted by the provisions of disqualification in terms of the provisions of this RfQ, we shall intimate the JNAC of the same immediately.
16. The Statement of Legal Capacity as per format provided at Annex-V in Appendix-I of the RfQ document, and duly signed, is enclosed. The power of attorney for signing of application and the power of attorney for Lead Member of consortium, as per format provided at Appendices II and III respectively of the RfQ, are also enclosed.
17. I/ We understand that the selected Bidder shall either be an existing Company incorporated under the Indian Companies Act, 1956, or shall incorporate as such prior to execution of the Concession Agreement.
18. I/ We herby confirm that we are in compliance of/ shall comply with the operation and maintenance requirements specified in Clause 2.2.2.
19. I/ We hereby irrevocably waive any right or remedy which we may have at any stage at law or howsoever otherwise arising to challenge or question any decision taken by the JNAC in connection with the selection of Applicants, selection of the Bidder, or in connection with the selection/ Bidding Process itself, in respect of the above mentioned Project and the terms and implementation thereof.
20. I/ We agree and undertake to abide by all the terms and conditions of the RfQ document.
21. I/ We certify that in terms of the RfQ, my/our Turnover is Rs..... (Rupees in words) and Networth is Rs. (Rupees in words) and the Aggregate Experience Score is (number in words).
22. We agree and undertake to be jointly and severally liable for all the obligations of the Concessionaire under the Concession Agreement till occurrence of Financial Close in accordance with the Concession Agreement.

In witness thereof, I/ we submit this application under and in accordance with the terms of the RfQ document.

Yours faithfully,

(Signature, name and designation of the Authorised Signatory)

Date:

Place:

Name and seal of the Applicant/ Lead Member

ANNEX-I Details of Applicant

1. (a) Name:
(b) State of incorporation:
(c) Address of the corporate headquarters and its branch office(s), if any, in India:
(d) Date of incorporation and/ or commencement of business:
2. Brief description of the Company including details of its main lines of business and proposed role and responsibilities in this Project:
3. Details of individual(s) who will serve as the point of contact/ communication for the JNAC:
 - (a) Name:
 - (b) Designation:
 - (c) Company:
 - (d) Address:
 - (e) Telephone Number:
 - (f) E-Mail Address:
 - (g) Fax Number:
4. Particulars of the Authorised Signatory of the Applicant:
 - (a) Name:
 - (b) Designation:
 - (c) Address:
 - (d) Phone Number:
 - (e) Fax Number:
5. In case of a Consortium:
 - (a) The information above (1-4) should be provided for all the Members of the Consortium.
 - (b) A copy of the Jt. Bidding Agreement, as envisaged in Clause 2.2.5 (g) should be attached to the Application.
 - (c) Information regarding the role of each Member should be provided as per table below:

S. No.	Name of Member	Role {Refer Clause 2.2.5 (d)}	Percentage of equity in the Consortium {Refer Clauses 2.2.5 (a), (c) and (g)}
1.			
2.			
3.			
.			

The role of each Member, as may be determined by the Applicant, should be indicated in accordance with instruction 4 at Annex-IV.

(d) The following information shall also be provided for each Member of the Consortium:

Name of Applicant/Member of Consortium

S. No.	Criteria	Yes	No
1.	Has the Applicant constituent of the Consortium been barred by the [Central/ State] Government, or any entity controlled by it from participating in any project (BOT or otherwise)?		
2.	If the answer to 1 is yes, does the bar subsist as on the date of Application?		
3.	Has the Applicant/ constituent of the Consortium paid liquidated damages of more than 5% (five per cent) of the contract value in a contract due to delay or has been penalised due to any other reason in relation to execution of a contract, in the last three years?		

6. A statement by the Applicant and each of the Members of its Consortium (where applicable) or any of their Associates disclosing material non-performance or contractual non-compliance in past projects, contractual disputes and litigation/ arbitration in the recent past is given below (Attach extra sheets, if necessary):

ANNEX-II Technical Capacity of the Applicant
(refer to clause 2.2.3, 3.2 and 3.3 of the RFQ)

S. No	Parameter	Indicator	Maximum Experience Score	Experience Score	Experience Score of Applicant
1	Experience in door to door collection of at least in one city in India	c) Number of Projects	c) 5		
		1 projects		2	
		2 projects		4	
		>2 projects		5	
		d) Actual MSW collected from households during last three financial years	d) 10		
		Less than 50 TPD		0	
		From 50 TPD to 100 TPD		2	
	For every additional 100 TPD, 2 marks upto the maximum of 500 TPD		10		
2	Experience in Collection and Transportation	c) Number of Projects	c) 5		
		1 projects		2	
		2 projects		4	
		>2 projects		5	
		d) Actual MSW collected and transported during last three financial years	d) 20		
		Less than 150 TPD		0	
		From 150 to 200 TPD		4	
	For every additional 200 TPD, 4 marks upto the maximum of 1000 TPD		20		
3	Experience in design, construction, operation and maintenance of Sanitary Landfill	c) Number of Projects	a) 5		
		1 projects		2	
		2 projects		4	
		>2 projects		5	
		d) Landfill Capacity	b) 25		
		Sanitary landfill of capacity to accommodate waste of 5 years for a city generating at least 150 TPD or for a minimum capacity of 50,000 cum			
		- < 50,000 cum			
- Upto 100,000 cum		15			
- Above 100,000 cum		25			
4	Experience in design, construction, operation and	b) Number of Projects	c) 5		
		1 projects		2	
		2 projects		4	

S. No	Parameter	Indicator	Maximum Experience Score	Experience Score	Experience Score of Applicant
	maintenance of waste processing facilities	>2 projects		5	
		d) Methods of processing used	c) 25		
		(iii) Composting	- 15		
		- Plant capacity <50 TPD		0	
		- Plant capacity 50-100 TPD		10	
		- Plant capacity >100 TPD		15	
		(iv) Other technologies like mechanical separation of recyclables (material recovery facility)/ plastic recycling plant/paper recycling plant/Construction and demolition waste processing plant/etc.	- 10		
		- Experience in one technology		5	
		- Experience in more than one technology		10	

Note: Activities/facilities shall be in operation for atleast one year to be qualified as experience. The bidder shall submit duly attested client certificates to claim Project Experience.

ANNEX-III Financial Capacity of the Applicant
(Refer to Clauses 2.2.2 (B), 2.2.3 (ii) and 3.4 of the RfQ)
(In Rs. crore)

Applicant Type	Member Name	Average Net Worth	Average Turnover
Single entity Applicant			
Consortium Member 1			
Consortium Member 2			
Consortium Member 3			
TOTAL			

Name and address of Applicant's Bankers:

An Applicant consisting of a single entity should fill in details as per the row titled Single entity Applicant and ignore the rows titled Consortium Members. In case of a Consortium, row titled Single entity Applicant may be ignored.

The Applicant should provide details of its own Financial Capacity or of an Associate specified in Clause 2.2.8.

Instructions:

1. The Applicant/ its constituent Consortium Members shall attach copies of the balance sheets, financial statements and Annual Reports for 3 (Three) years preceding the Application Due Date. The financial statements shall:
 - (a) Reflect the financial situation of the Applicant or Consortium Members and its/ their Associates where the Applicant is relying on its Associate's financials;
 - (b) Be audited by a statutory auditor;
 - (c) Be complete, including all notes to the financial statements; and

- (d) Correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted).
2. Net Worth shall mean (Subscribed and Paid-up Equity + Reserves) less (Revaluation reserves + miscellaneous expenditure not written off + reserves not available for distribution to equity shareholders).
 3. Year 1 will be the latest completed financial year, preceding the bidding. Year 2 shall be the year immediately preceding Year 1 and so on. In case the Application Due Date falls within 3 (three) months of the close of the latest financial year, refer to Clause 2.2.11.
 5. In the case of a Consortium, a copy of the Jt. Bidding Agreement shall be submitted in accordance with Clause 2.2.5 (g) of the RFQ document.
 6. The applicant shall also provide the name and address of the Bankers to the Applicant.
 7. The Applicant shall provide an Statutory Auditor's Certificate specifying the net worth of the Applicant and also specifying the methodology adopted for calculating such net worth in accordance with Clause 2.2.3 (ii) of the RFQ document.

ANNEX-IV Details of Eligible Projects
(Refer to Clauses 2.2.2 (A), 3.2 and 3.3 of the RFQ)

Applicant/Member

Item	Particulars of the Project
Title of the Project	
Nature of the project	
Client for which the project was constructed /developed	
Address	
Contact Person/Designation	
Phone Number	
Location	
Project cost	
Date of commencement of project/ contract	
Date of completion/ commissioning	
Equity share holding in case of Joint Venture (with period dating which equity was held)	
Whether credit is being taken for the eligible Experience of an Associate (Yes/ No)	

Instructions:

1. Applicants are expected to provide information in respect of each Eligible Project in this Annex. The projects cited must comply with the eligibility criteria specified in Clause 2.2. of the RFQ,. Information provided in this section is intended to serve as a back up for information provided in the Application. Applicants should also refer to the Instructions below.
2. A separate sheet should be filled for each Eligible Project.
3. Experience for any activity relating to an Eligible Project shall not be claimed by two or more Members of the Consortium. In other words, no double counting by a consortium in respect of the same experience shall be permitted in any manner whatsoever.
4. For claiming experience for Eligible Projects under clause 2.2 (A), duly attested certificate from respective clients must be furnished for each Eligible Project.
5. It may be noted that in the absence of the above certificates, the information would be considered inadequate and could lead to exclusion of the relevant project in computation of Experience Score.

ANNEX-V Statement of Legal Capacity

(To be forwarded on the letterhead of the Applicant/ Lead Member of Consortium)

Ref.
Date:

To
Special Officer
Jamshedpur Notified Area Committee (JNAC)
Sakshi, Jamshedpur – 831001

Dear Sir:

We hereby confirm that we/ our members in the Consortium (constitution of which has been described in the application) satisfy the terms and conditions laid out in the RFQ document. We have agreed that (insert member's name) will act as the Lead Member of our consortium.*

We have agreed that (insert individual's name) will act as our representative/ will act as the representative of the consortium on its behalf* and has been duly authorized to submit the RFQ. Further, the authorised signatory is vested with requisite powers to furnish such letter and authenticate the same.

Thanking you,

Yours faithfully,

(Signature, name and designation of the authorised signatory)

For and on behalf of.....

APPENDIX-II Power of Attorney for Signing of Application

(To be executed on Stamp Paper of Rs.100/-)

(Refer Clause 2.2.4)

Know all men by these presents, We..... (name of the firm and address of the registered office) do hereby irrevocably constitute, nominate, appoint and authorise Mr./Ms. (name), son/daughter/wife of and presently residing at, who is presently employed with us/ the Lead Member of our Consortium and holding the position of, as our true and lawful attorney (hereinafter referred to as the "Attorney") to do in our name and on our behalf, all such acts, deeds and things as are necessary or required in connection with or incidental to submission of our application for pre-qualification and submission of our bid for the Municipal Solid Waste (MSW) Management Project in Jamshedpur proposed or being developed by the Jamshedpur Notified Area Committee (JNAC) (the "JNAC") including but not limited to signing and submission of all applications, bids and other documents and writings, participate in Pre- Applications and other conferences and providing information/ responses to the JNAC, representing us in all matters before the JNAC, signing and execution of all contracts including the Concession Agreement and undertakings consequent to acceptance of our bid, and generally dealing with the JNAC in all matters in connection with or relating to or arising out of our bid for the said Project and/ or upon award thereof to us and/or till the entering into of the Concession Agreement with the JNAC.

AND we hereby agree to ratify and confirm and do hereby ratify and confirm all acts, deeds and things done or caused to be done by our said Attorney pursuant to and in exercise of the powers conferred by this Power of Attorney and that all acts, deeds and things done by our said Attorney in exercise of the powers hereby conferred shall and shall always be deemed to have been done by us.

IN WITNESS WHEREOF WE,, THE ABOVE NAMED PRINCIPAL HAVE EXECUTED THIS POWER OF ATTORNEY ON THIS DAY OF, 20.....

For

.....

(Signature, name, designation and address)

Witnesses:

1.

2.

(Notarised)

Accepted

.....

(Signature)

(Name, Title and Address of the Attorney)

Notes:

- *The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required, the same should be under common seal affixed in accordance with the required procedure.*
- *Wherever required, the Applicant should submit for verification the extract of the charter documents and documents such as a board or shareholders' resolution/ power of attorney in favour of the person executing this Power of Attorney for the delegation of power hereunder on behalf of the Applicant.*
- *For a Power of Attorney executed and issued overseas, the document will also have to be legalised by the Indian Embassy and notarised in the jurisdiction where the Power of Attorney is being issued. However, the Power of Attorney provided by Applicants from countries that have signed the Hague Legislation Convention, 1961 are not required to be legalised by the Indian Embassy if it carries a conforming Appostille certificate.*

APPENDIX-III Power of Attorney for Lead Member of Consortium

(To be executed on Stamp Paper of Rs. 100/-)

(Refer Clause 2.2.4)

Whereas the Jamshedpur Notified Area Committee (“the JNAC”) has invited applications from interested parties for the Municipal Solid Waste (MSW) Management Project in Jamshedpur Project (the “Project”).

Whereas,,, and (collectively the “Consortium”) being Members of the Consortium are interested in bidding for the Project in accordance with the terms and conditions of the Request for Qualification document (RFQ), Request for Proposal (RFP) and other connected documents in respect of the Project, and

Whereas, it is necessary for the Members of the Consortium to designate one of them as the Lead Member with all necessary power and JNAC to do for and on behalf of the Consortium, all acts, deeds and things as may be necessary in connection with the Consortium’s bid for the Project and its execution.

NOW, THEREFORE, KNOW ALL MEN BY THESE PRESENTS

We, having our registered office at,
M/s. having our registered office at,
M/s. having our registered office at, and
M/s. having our registered office at, (hereinafter collectively referred to as the “Principals”) do hereby irrevocably designate, nominate, constitute, appoint and authorise M/s. having its registered office at, being one of the Members of the Consortium, as the Lead Member and true and lawful attorney of the Consortium (hereinafter referred to as the “Attorney”). We hereby irrevocably authorize the Attorney (with power to sub-delegate) to conduct all business for and on behalf of the Consortium and any one of us during the bidding process and; in the event the Consortium is awarded the concession/contract, during the execution of the Project and in this regard, to do on our behalf and on behalf of the Consortium, all or any of such acts, deeds or things as are necessary or required or incidental to the pre-qualification of the Consortium and submission of its bid for the Project, including but not limited to signing and submission of all applications, bids and other documents and writings, participate in bidders and other conferences, respond to queries, submit information/ documents, sign and execute contracts and undertakings consequent to acceptance of the bid of the Consortium and generally to represent the Consortium in all its dealings with the JNAC, and/ or any other Government Agency or any person, in all matters in connection with or relating to or arising out of the Consortium’s bid for the Project and/ or upon award thereof till the Concession Agreement is entered into with the JNAC.

AND hereby agree to ratify and confirm and do hereby ratify and confirm all acts, deeds and things done or caused to be done by our said Attorney pursuant to and in exercise of the powers conferred by this Power of Attorney and that all acts, deeds and things done by our said

Attorney in exercise of the powers hereby conferred shall and shall always be deemed to have been done by us/ Consortium.

IN WITNESS WHEREOF WE THE PRINCIPALS ABOVE NAMED HAVE EXECUTED THIS POWER OF ATTORNEY ON THIS DAY OF, 20.....

For
(Signature)

.....
(Name and Title)

For
(Signature)

.....
(Name and Title)

For
(Signature)

.....
(Name and Title)

Witnesses:

- 1.
- 2.

.....

(Executants)

(To be executed by all the Members of the Consortium)

Notes:

- *The mode of execution of the Power of Attorney should be in accordance with the procedure, if any, laid down by the applicable law and the charter documents of the executant(s) and when it is so required, the same should be under common seal affixed in accordance with the required procedure.*
- *Also, wherever required, the Applicant should submit for verification the extract of the charter documents and documents such as a board or shareholders' resolution/ power of attorney in favour of the person executing this Power of Attorney for the delegation of power hereunder on behalf of the Applicant.*
- *For a Power of Attorney executed and issued overseas, the document will also have to be legalised by the Indian Embassy and notarised in the jurisdiction where the Power of Attorney is being issued. However, the Power of Attorney provided by Applicants from countries that have signed the Hague Legislation Convention, 1961 are not required to be legalised by the Indian Embassy if it carries a conforming Apostille certificate.*

APPENDIX-IV Joint Bidding Agreement

(Refer Clause 2.13.2)

(To be executed on Stamp paper of appropriate value)

THIS JOINT BIDDING AGREEMENT is entered into on this the day of, 20.....

AMONGST

1. {..... Limited, a company incorporated under the Companies Act, 1956} and having its registered office at (hereinafter referred to as the “**First Part**” which expression shall, unless repugnant to the context include its successors and permitted assigns)

AND

2. {..... Limited, a company incorporated under the Companies Act, 1956} and having its registered office at (hereinafter referred to as the “**Second Part**” which expression shall, unless repugnant to the context include its successors and permitted assigns)

AND

3. {..... Limited, a company incorporated under the Companies Act, 1956 and having its registered office at (hereinafter referred to as the “**Third Part**” which expression shall, unless repugnant to the context include its successors and permitted assigns)}

The above mentioned parties of the FIRST, SECOND, and THIRD PART are collectively referred to as the “**Parties**” and each is individually referred to as a “**Party**”

WHEREAS

(A) The Jamshedpur Notified Area Committee (JNAC) represented by its Special Officer and having its principal offices at Sakshi, Jamshedpur, Pin - 831001 (hereinafter referred to as the “JNAC” which expression shall, unless repugnant to the context or meaning thereof, include its administrators, successors and assigns) has invited applications (the “**Applications**”) by its Request for Qualification No. dated(the “**RfQ**”) for pre-qualification and short-listing of bidders for Municipal Solid Waste (MSW) Management Project in Jamshedpur (the “**Project**”) through public private partnership.

(B) The Parties are interested in jointly bidding for the Project as members of a Consortium and in accordance with the terms and conditions of the RfQ document and other bid documents in respect of the Project, and

(C) It is a necessary condition under the RfQ document that the members of the Consortium shall enter into a Joint Bidding Agreement and furnish a copy thereof with the Application.

NOW IT IS HEREBY AGREED as follows:

1. Definitions and Interpretations

In this Agreement, the capitalised terms shall, unless the context otherwise requires, have the meaning ascribed thereto under the RfQ.

2. Consortium

1.1 The Parties do hereby irrevocably constitute a consortium (the “**Consortium**”) for the purposes of jointly participating in the Bidding Process for the Project.

1.2 The Parties hereby undertake to participate in the Bidding Process only through this Consortium and not individually and/ or through any other consortium constituted for this Project, either directly or indirectly or through any of their Associates.

3. Covenants

The Parties hereby undertake that in the event the Consortium is declared the selected Bidder and awarded the Project, it shall incorporate a special purpose vehicle (the “**SPV**”) under the Indian Companies Act, 1956 for entering into a Concession Agreement with the JNAC and for performing all its obligations as the Concessionaire in terms of the Concession Agreement for the Project.

4. Role of the Parties

The Parties hereby undertake to perform the roles and responsibilities as described below:

- (a) Party of the First Part shall be the Lead member of the Consortium and shall have the power of attorney from all Parties for conducting all business for and on behalf of the Consortium during the Bidding Process and until the Appointed Date under the Concession Agreement when all the obligations of the SPV shall become effective;
- (b) Party of the Second Part shall be responsible for undertaking(nature of work)
- (c) Party of the Third Part shall be responsible for undertaking(nature of work)

5. Joint and Several Liability

The Parties do hereby undertake to be jointly and severally responsible for all obligations and liabilities relating to the Project and in accordance with the terms of the RFQ, RFP and the Concession Agreement, till end of Concession Period in accordance with the Concession Agreement.

6. Shareholding in the SPV

6.1 The Parties undertake that each of the Parties separately shall, at all times till end of Concession period, hold paid up equity share capital of SPV equivalent to at least 5% (five per cent) of the Total Project Cost specified in the Concession Agreement.

6.2 The Parties agree that the proportion of shareholding among the Parties in the SPV shall be as follows:

First Party: %age of subscribed and paid up capital

Second Party: %age of subscribed and paid up capital

Third Party: %age of subscribed and paid up capital

6.3 The Parties undertake that they shall comply with all equity lock-in requirements set forth in the Concession Agreement.

6.4 The parties undertake that all members of the Consortium shall be liable jointly and severally for all obligations of the Concessionaire in relation to the Project until end of Concession Period in accordance with the Concession Agreement; and

7. Representation of the Parties

Each Party represents to the other Parties as of the date of this Agreement that:

- (a) Such Party is duly organised, validly existing and in good standing under the laws of its incorporation and has all requisite power and JNAC to enter into this Agreement;
- (b) The execution, delivery and performance by such Party of this Agreement has been authorised by all necessary and appropriate corporate or governmental action and a copy of the extract of the charter documents and board resolution/ power of attorney in favour of the person executing this Agreement for the delegation of power and JNAC to execute this Agreement on behalf of the Consortium Member is annexed to this Agreement, and will not, to the best of its knowledge:
 - (i) require any consent or approval not already obtained;
 - (ii) violate any Applicable Law presently in effect and having applicability to it;
 - (iii) violate the memorandum and articles of association, by-laws or other applicable organisational documents thereof;
 - (iv) violate any clearance, permit, concession, grant, license or other governmental authorisation, approval, judgement, order or decree or any mortgage agreement, indenture or any other instrument to which such Party is a party or by which such Party or any of its properties or assets are bound or that is otherwise applicable to such Party; or
 - (v) create or impose any liens, mortgages, pledges, claims, security interests, charges or Encumbrances or obligations to create a lien, charge, pledge, security interest, encumbrances or mortgage in or on the property of such Party, except for encumbrances that would not, individually or in the aggregate, have a material adverse effect on the financial condition or prospects or business of such Party so as to prevent such Party from fulfilling its obligations under this Agreement;
- (c) This Agreement is the legal and binding obligation of such Party, enforceable in accordance with its terms against it; and

(d) there is no litigation pending or, to the best of such Party's knowledge, threatened to which it or any of its Affiliates is a party that presently affects or which would have a material adverse effect on the financial condition or prospects or business of such Party in the fulfillment of its obligations under this Agreement.

8. Termination

This Agreement shall be effective from the date hereof and shall continue in full force and effect till end of Concession Period in accordance with the Concession Agreement, in case the Project is awarded to the Consortium. However, in case the Consortium is either not pre-qualified for the Project or does not get selected for award of the Project, the Agreement will stand terminated in case the Applicant is not pre-qualified or upon return of the Bid Security by the JNAC to the Bidder, as the case may be.

9. Miscellaneous

9.1 This Joint Bidding Agreement shall be governed by laws of {India}.

9.2 The Parties acknowledge and accept that this Agreement shall not be amended by the Parties without the prior written consent of the JNAC.

IN WITNESS WHEREOF THE PARTIES ABOVE NAMED HAVE EXECUTED AND DELIVERED THIS AGREEMENT AS OF THE DATE FIRST ABOVE WRITTEN.

SIGNED, SEALED AND DELIVERED
For and on behalf of
LEAD MEMBER by:
(Signature)
(Name)
(Designation)
(Address)

SIGNED, SEALED AND DELIVERED
For and on behalf of
SECOND PART by:
(Signature)
(Name)
(Designation)
(Address)

SIGNED, SEALED AND DELIVERED
For and on behalf of
THIRD PART by:
(Signature)
(Name)
(Designation)
(Address)

In the presence of:
1.

2

Notes:

1. The mode of the execution of the Joint Bidding Agreement should be in accordance with the procedure, if any, laid down by the Applicable Law and the charter documents of the executant(s) and when it is so required, the same should be under common seal affixed in accordance with the required procedure.

2. Each Joint Bidding Agreement should attach a copy of the extract of the charter documents and documents such as resolution / power of attorney in favour of the person executing this

Agreement for the delegation of power and JNAC to execute this Agreement on behalf of the Consortium Member.

3. For a Joint Bidding Agreement executed and issued overseas, the document shall be legalised by the Indian Embassy and notarized in the jurisdiction where the Power of Attorney has been executed.

|

APPENDIX-V Historical Contract Non-Performance

[The following table shall be filled in for the bidder and for each member of the Consortium]

Please tick either (A) or furnish details in case of (B)

Bidder's Name:

Consortium Members' Name:

Date:

Year	Non performed portion of contract	Name/address of the Project	Reason(s) for non performance and total contract amount
<i>[insert year]</i>	<i>[insert amount and percentage of total project cost]</i>	<i>Address of project sponsor : [insert street/ city/country] : [indicate main reason(s)]</i>	

APPENDIX-VI Pending Litigation

[The following table shall be filled in for the bidder and for each member of the Consortium]

Bidder's Name: [insert full name]

Consortium Members' Name:[insert full name]

Date: [insert day, month, year]

Year	Outcome Value and Percentage as of Total Assets	Name/address of the Project	Reason(s) for non performance and total contract amount
<i>[insert year]</i>	<i>[insert Value and percentage of total assets]</i>	<i>Address of project sponsor : [insert street/ city/country] : [indicate main reason(s)]</i>	<i>Reason(s) for non performance and total contract amount</i>